

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Confirmation No.: 3100

Ryuichi KATAYAMA

Date: March 7, 2007

Serial No.: 10/527,128

Group Art Unit: 2627

Filed: March 8, 2005

Examiner: Minerva RIVERO

For: OPTICAL HEAD DEVICE, METHOD OF MANUFACTURING THE SAME,
AND OPTICAL INFORMATION RECORDING AND/OR PLAYBACK APPARATUS

VIA EFS-WEB

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

AMENDMENT/SUBMISSION**RESPONSE TO NOTIFICATION OF NON-COMPLIANCE**

Sir:

This is a response to the Notice of Non-Compliance with 37 C.F.R. §1.121(c) mailed February 21, 2007 in the above-identified application.

FEE CALCULATION

Any additional fee required has been calculated as follows:

___ If checked, "Small Entity" status is claimed.

NO. CLAIMS AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR			EXTRA PRESENT		RATE	ADDIT. FEE
TOTAL	17	MINUS	20	* =	0	X	(\$25 SE or \$50)	\$0
INDEP.	2	MINUS	3	** =	0	X	(\$100 or \$200)	\$0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM						X	(\$180 or \$360)	\$0
* not less than 20		** not less than 3						TOTAL \$0

If any additional payment is required, credit card payment in the amount of
\$_____ is submitted via EFS-WEB.

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

CONTINGENT EXTENSION REQUEST

If this communication is filed after the shortened statutory time period has elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. ' 1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 C.F.R. ' 1.135. The fee under 37 C.F.R. ' 1.17 should be charged to our Deposit Account No. 15-0700.

SUMMARY OF AMENDMENTS

1. ☐ If checked, an abstract (an amended abstract) is submitted herewith.
2. ☐ If checked, amendment(s) to the drawings are submitted herewith.
3. ☐ If checked, amendment(s) to the specification are submitted herewith.
4. ☒ If checked, amendment(s) to the claims are submitted herewith.